JC10 Rec'd PCT/FT to the director Marzod? CAD US. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paper Reduction Act of 1995, no persons are required to respond to a collection of information unlesst displays a valid OMB control number

TRANSMITTAL LETTER TO THE UNITED STATES

ATTORNEY'S DOCKET NUMBER

MERCK-3016

	G UNDER 35 U.S.C. 371	U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/535 104							
INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED							
PCT/EP2003/012626	12 November 2003 (12.11.2003)	15 November 2002 (15.11.2002)							
TITLE OF INVENTION	<u> </u>								
ELECTRO-OPTICAL LIGHT MODULA	TION ELEMENT, ELECTRO-OPTICA	AL DISPLAY AND MODULATION MEDIUM							
APPLICANT(S) FOR DO/EO/US									
Michael HECKMEIER, et al.									
Applicant herewith submits to the United State	es Designated/Elected Office (DO/EO/US) the	he following items and other information:							
1. This is a FIRST submission of items	s concerning a filing under 35 U.S.C. 371.								
2. This is a SECOND or SUBSEQUE	NT submission of items concerning a submi	ssion under 35 U.S.C. 371.							
 This is an express request to begin n and (21) indicated below. 	ational examination procedures (35 U.S.C. 3	371(f)). The submission must include items (5), (6), (9)							
4. X The US has been elected (Article 31)).								
5. A copy of the International Applicat	ion as filed (35 U.S.C. '371(c)(2))								
a. is attached hereto (required	d only if not communicated by the Internation	onal Bureau).							
b. 🛮 has been communicated by	the International Bureau.								
c. is not required, as the appl	ication was filed in the United States Receiv	ring Office (RO/US).							
6. An English language translation of t	he International Application as filed (35 U.S	S.C. 371(c)(2)).							
a. 🛛 is attached hereto.									
b. has been previously submi	tted under 35 U.S.C. 154(d)(4).								
7. Amendments to the claims of the Int	ernational Application under PCT Article 19	9 (35 U.S.C. 371(c)(3))							
a. are attached hereto (requir	ed only if not communicated by the Internat	ional Bureau).							
b. have been communicated	by the International Bureau.								
c. have not been made; howe	ever, the time limit for making such amendm	ents has NOT expired.							
d. 🛛 have not been made and w	ill not be made.								
8. 🛪 🔲 An English language translation of t	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).								
9. An oath or declaration of the invento	or(s) (35 U.S.C. 371(c)(4)).								
10. English language translation of the a Article 36 (35 U.S.C. 371(c)(5)).	nnexes of the International Preliminary Exa	mination Report under PCT							
Items 11 to 20 below concern docu	ment(s) or information included:								
11. An Information Disclosure Statemer	nt under 37 C.F.R. 1.97 and 1.98.								
12. An assignment document for record	ing. A separate cover sheet in compliance w	vith 37 C.F.R. 3.28 and 3.31 is included.							
13. A preliminary amendment.									
14. An Application Data Sheet under 37	C.F.R. 1.76.								
15. A substitute specification.		•							
16. A power of attorney and/or change of	of address letter.								
	quence listing in accordance with PCT Rule	13ter.2 and 37 CFR 1.821-1.825.							
· · ·	A second copy of the published International Application under 35 U.S.C. 154(d)(4).								
	age translation of the international application								
20. Other items or information:.	.,								

JC13 Rec'd PCT/PTO 16 MAY 2005

U.S. APPLICAT	ION NO. (if know	n, see 37 CFR 1.5)	INTERNATIONAL APPLICAT	ION NO.	ATTORNEY'S DOC	KET NUMBER		
10/535104 PCT/EP2003/012626			MERCK-3016 CALCULATIONS PTO USE ONLY						
The following fees have been submitted 21. Basic national fee \$300				6200		PIO USE ONLY			
21.	Basic	national	tee		\$300	\$300.00			
22.	Exami	nation fe	ee			\$200.00			
If International		\$200.00	P						
PCT Article 33	•	,			\$100				
All other situati					\$200				
23.	Search					\$500.00			
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an									
International Searching Authority \$100									
International Search Report prepared and provided to the Office \$400 All other situations \$500									
All other situations \$500 Total of 21, 22 and 23 =						\$1000.00			
Addit				as filed in paper over 100 sheets (excluding	\$1000.00			
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.									
Total Sheets	Extra S	heets	Number of each	additional 50 or fraction thereof	RATE	<u>.</u>			
			(round	up to a whole number)			,		
- 100	/50	=			x \$250	\$			
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).						\$			
CLAIM	S	NUN	MBER FILED	NUMBER EXTRA	RATE				
Total Cla	ims		29- 20 =	9	x \$50	\$450.00			
Independent	Claims		2-3=	0	X \$200	\$			
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360						\$			
				TOTAL OF ABOVE CAI	CULATIONS =	\$1450.00			
Appl	icant claim	s small e	entity status. See 3	7 CFR 1.27. Fees above are redu	ced by ½.				
SUBTOTAL =						\$			
Processing fee	of \$130.00	for furn	ishing the English	translation later than 30 months fi	rom the earliest	\$			
claimed priority date (37 CFR 1.492(i)).									
TOTAL NATIONAL FEE =						\$1450.00			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +						\$			
TOTAL FEES ENCLOSED =						\$1450.00			
·						Amount to be refunded:	\$		
						Amount to be charged:	\$		
a. 🛛	A check in the amount of \$1450.00 to cover the above fees is enclosed.								
b. 🔲	sheet is enclosed.								
c. 🛚	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 13-3402. A duplicate copy of this sheet is enclosed.								
d. 🗆	Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form Provide credit card information and authorization on PTO-2038.								
				7 CFR 1.495 has not been met, a ion to pending status.	a petition to reviv	e (37 CFR 1.137(a) o	or (b)) must be fild		
GENE ALL CO	DDECDO:	NIDENIC	r ro.	(-	XIII	30595			
SEND ALL CO	JKKESPO.								
					SIGNATURE Anthony J. Zel	ano			
					NAME				
<u>27,969</u>									
					REGISTRATIO	ON NUMBER			
May 16, 2005	;								